

# Advanced Analytics for Motor Current Signature Analysis: An Overview

By  
Dr. Chinmaya Kar

**Honeywell**

# Contents

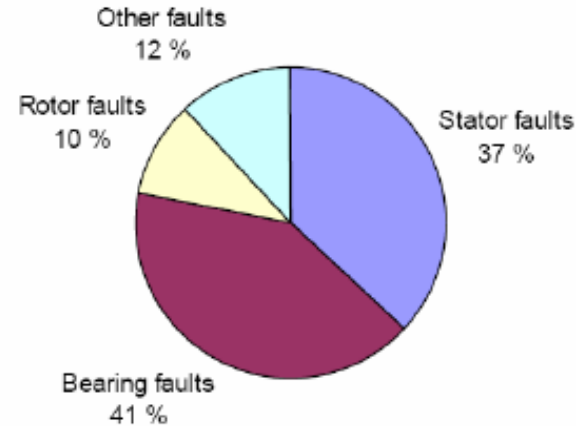
- Introduction
  - Motor's Failure Modes
  - Experimental Set Up for Mechanical Failures
- Techniques of Mechanical Faults Detection in MCSA
  - FFT Analysis
  - Amplitude Demodulation
  - Frequency Demodulation
  - Wavelet Transform
  - Multi-resolution Fourier Transform (Constant Window)
  - Multi-resolution Fourier Transform (Moving Window)
- Conclusion

# Introduction: Failure Modes

PERCENTAGE OF FAILURES BY MAJOR MOTOR COMPONENT

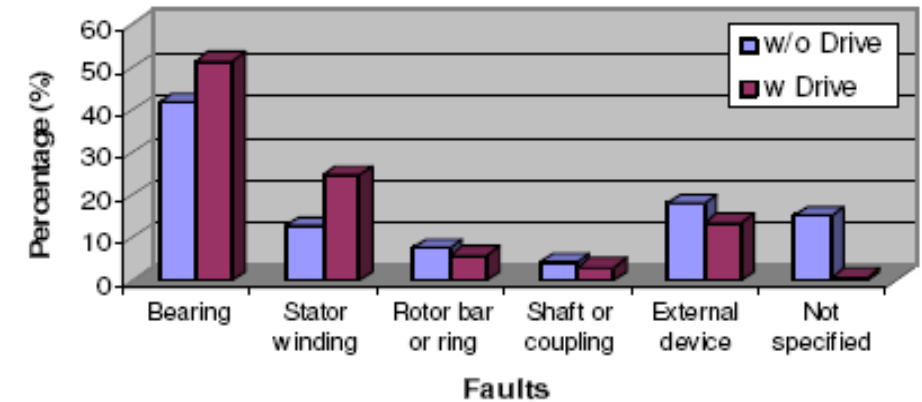
| Major Components | IEEE-IAS [28], [29]<br>% of Failures | EPRI [30]<br>% of Failures |
|------------------|--------------------------------------|----------------------------|
| Bearing Related  | 44                                   | 41                         |
| Winding Related  | 26                                   | 36                         |
| Rotor Related    | 8                                    | 9                          |
| Other            | 22                                   | 14                         |

IEEE TIA, 42(2), 2006, Mirafjal et al.



[http://www.control.hut.fi/Kurssit/AS74.3115/Materiaali/Material2007/Fault\\_diagnosis\\_of\\_electric\\_motors\\_2\\_slides.pdf](http://www.control.hut.fi/Kurssit/AS74.3115/Materiaali/Material2007/Fault_diagnosis_of_electric_motors_2_slides.pdf)

Faults Distribution



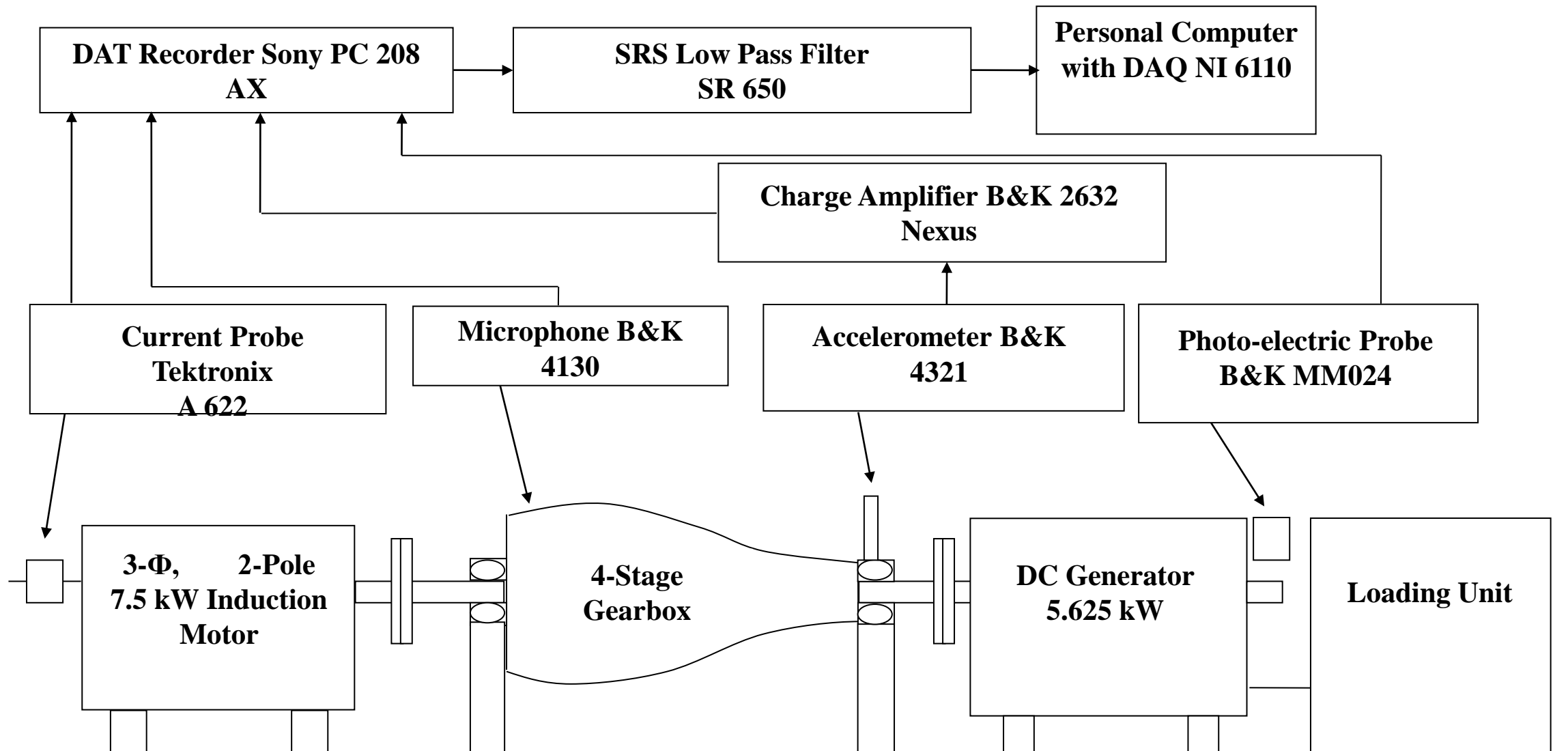
MS Thesis: Ali S. Al-Sahrani: Oregon State University

- Bearing Fault
- Stator fault:
  - Stator Insulation / Winding Short Circuit /Voltage Unbalance
- Rotor Fault:
  - Broken rotor bar and Rotor end ring failure
- Motor Fault
  - Air-gap
    - Static eccentricity
    - Dynamic eccentricity

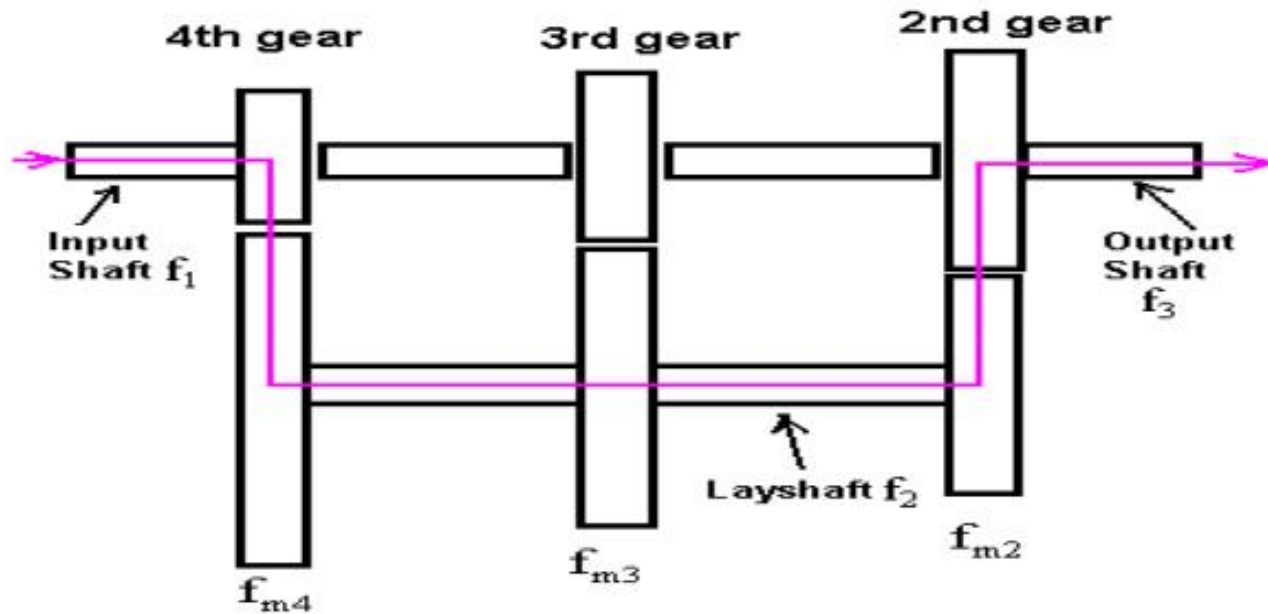
**Objective: Detect Mechanical Failures using Motor Current**



# Introduction: Experimental Set-up



# Automotive Transmission Gearbox

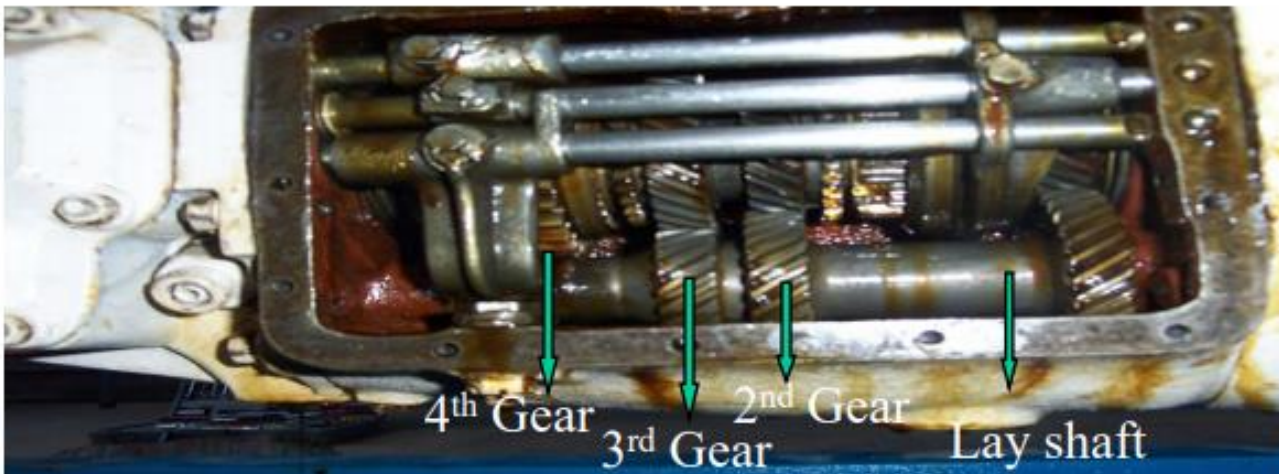


Defects in 2<sup>nd</sup> gears



Two teeth missing  
in 2<sup>nd</sup> main gear (d4):

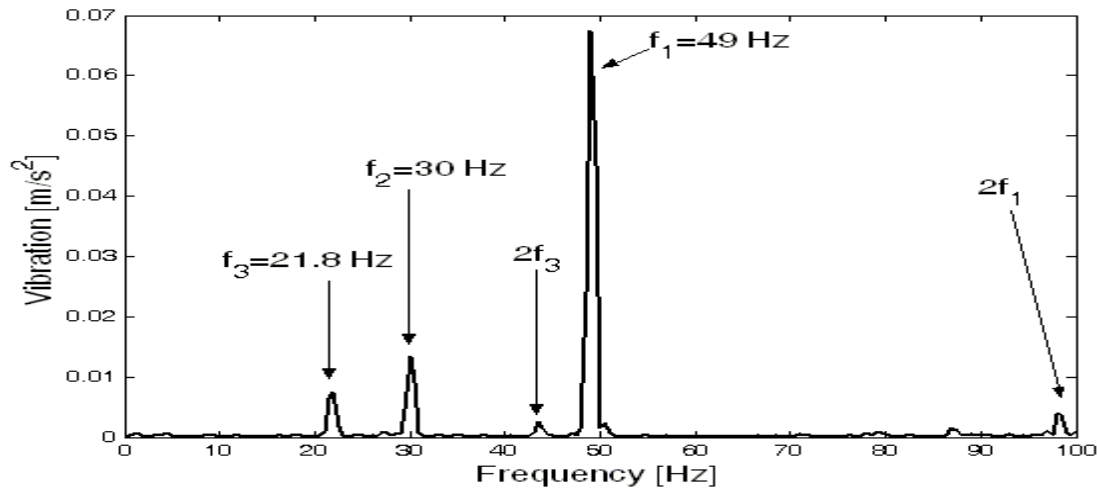
Two teeth cut in  
Die sinking EDM



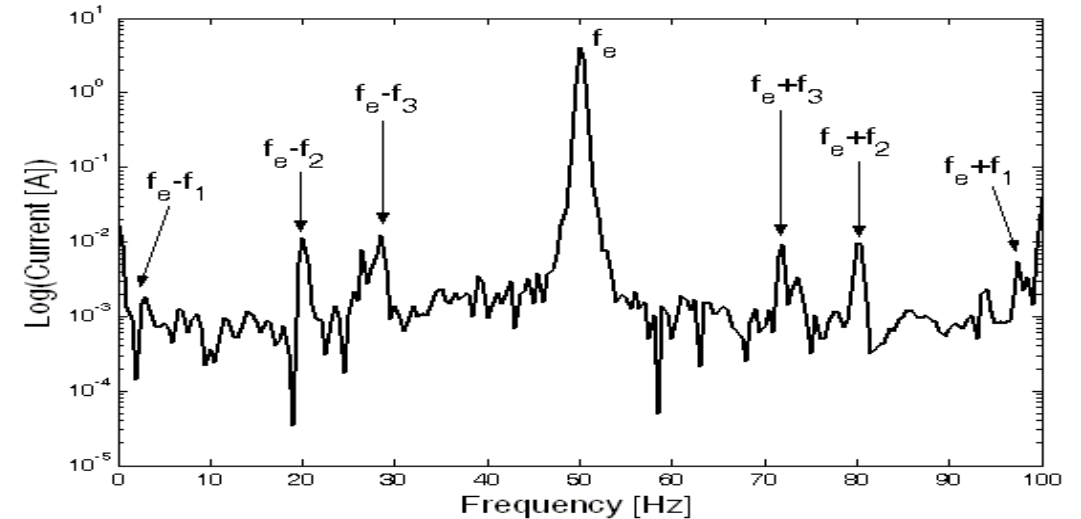
# Results: FFT Analysis (Low frequency components)

## Normal Gear

### Vibration Signals



### Current Signals

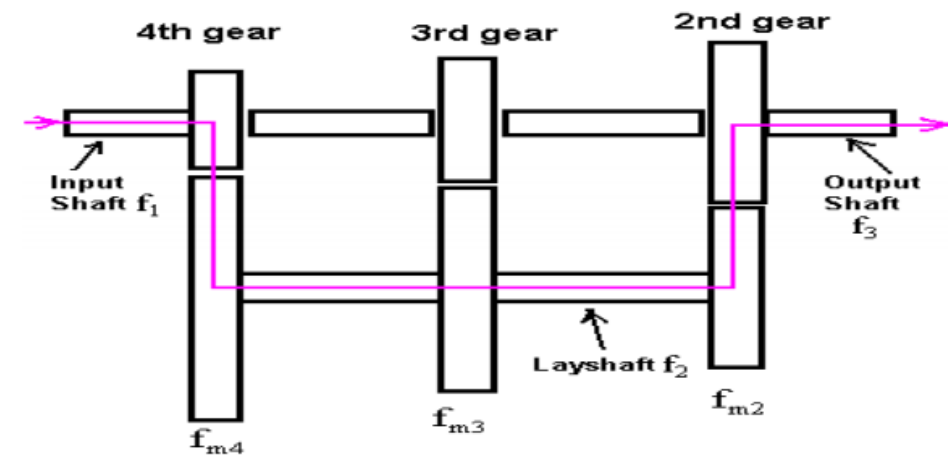


$f_1$ : Input shaft speed 49 Hz

$f_2$ : Layshaft speed 30 Hz

$f_3$ : Output gear shaft speed 21.8 Hz

$f_e$ : Current Line Frequency 50 Hz



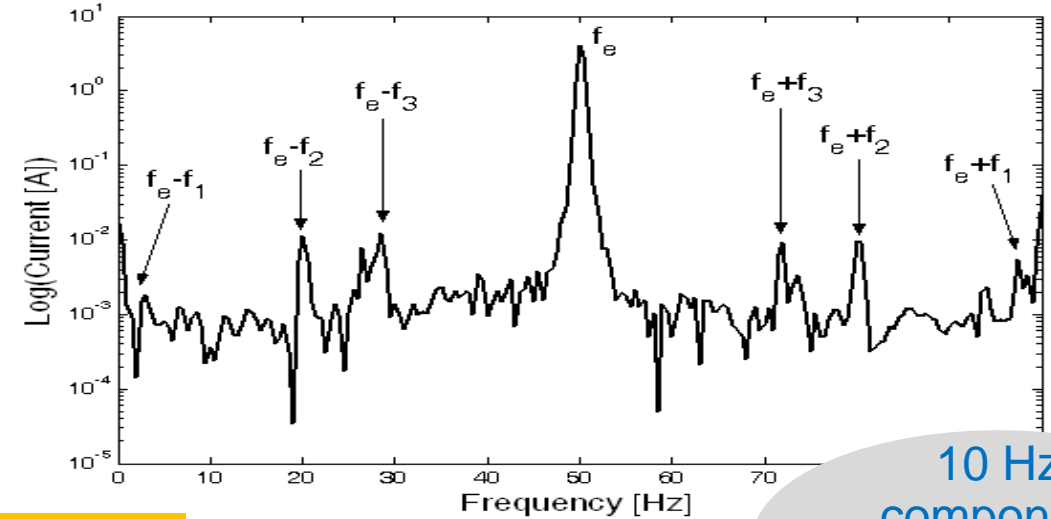
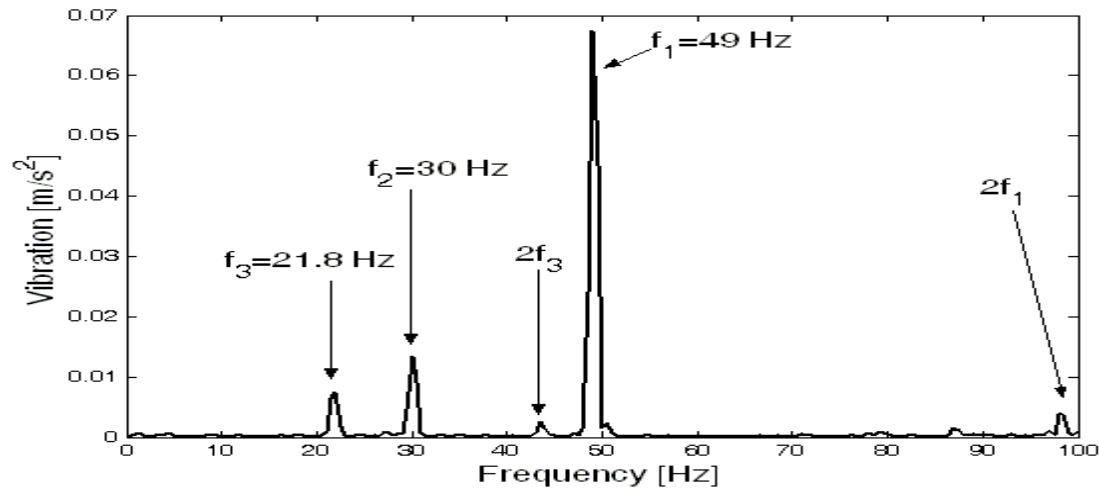
**All rotating shaft frequency appear as sidebands across line frequency**

# Results: FFT Analysis (Low frequency components)

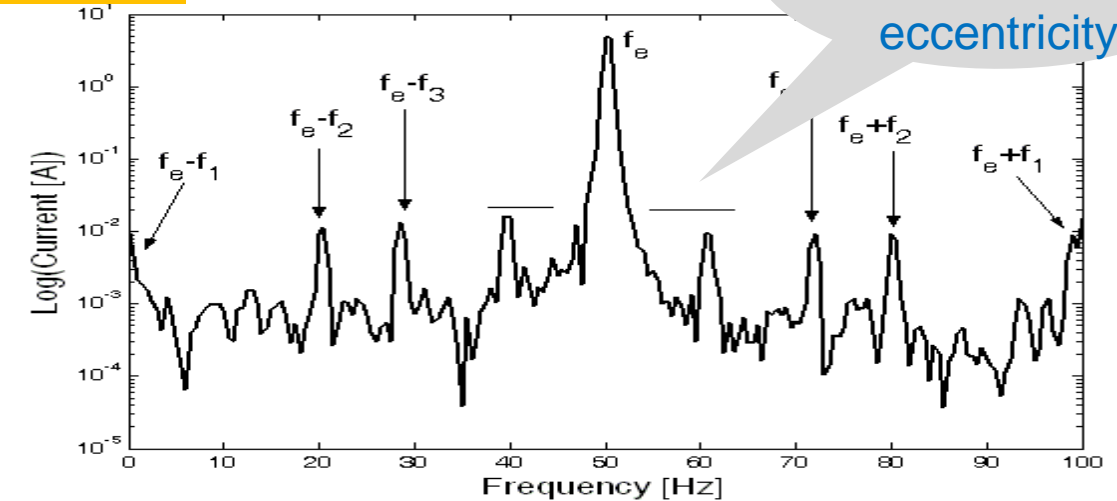
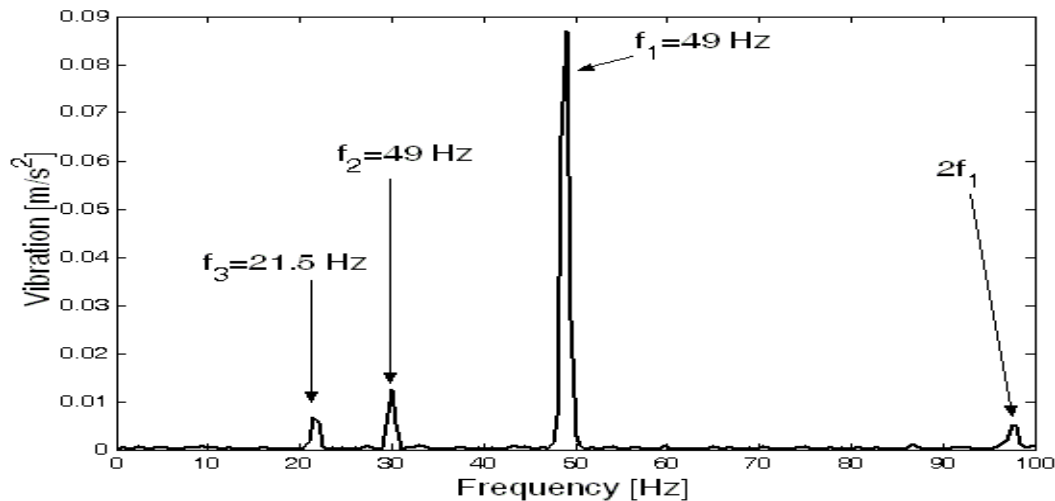
Vibration Signals

Normal Gear

Current Signals



Defective Gear

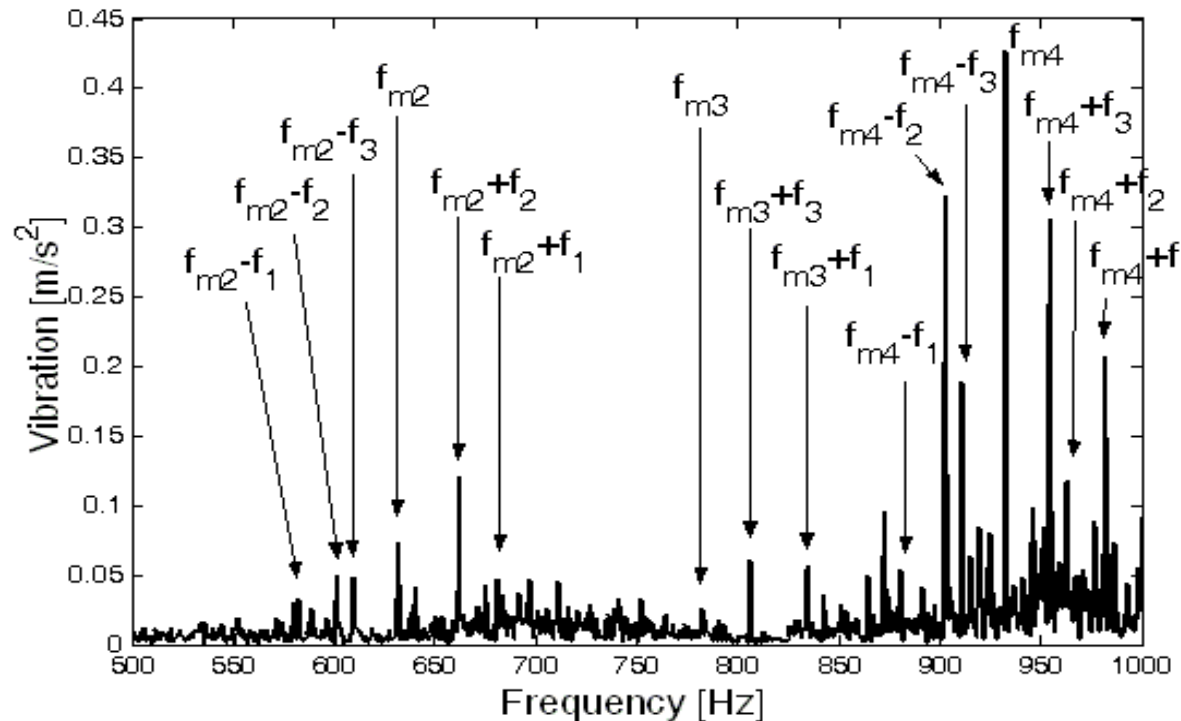


10 Hz  
component  
due to  
eccentricity

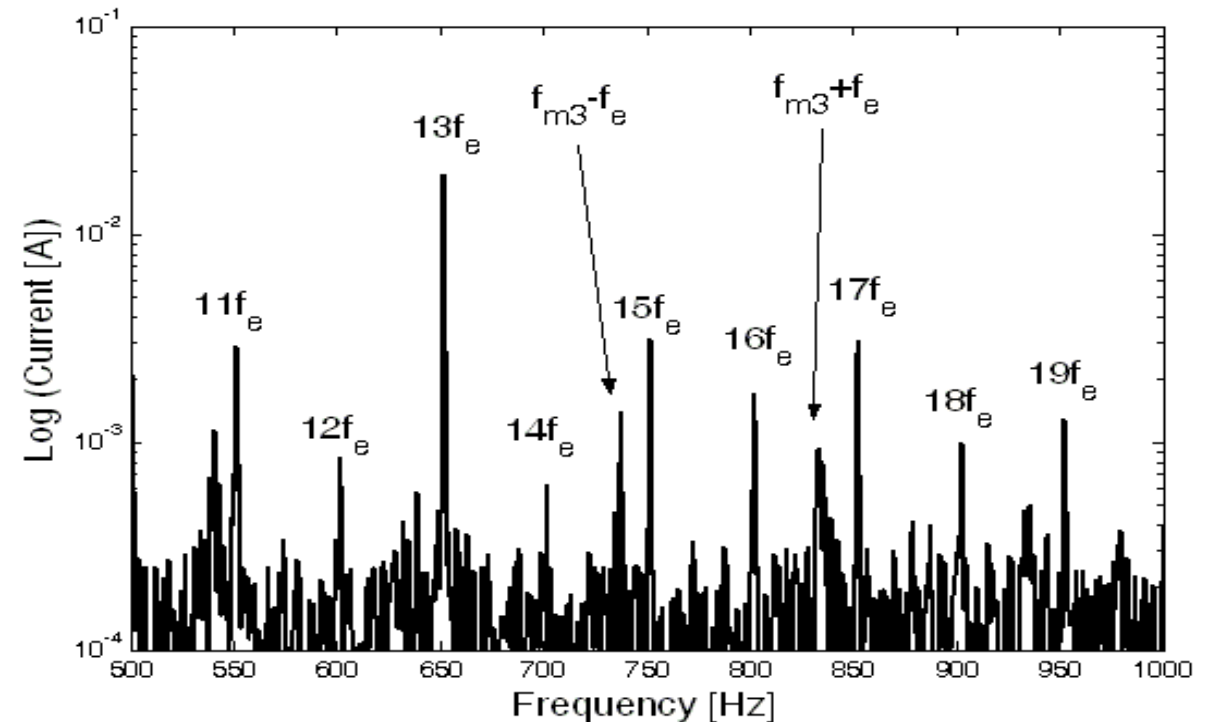
# Results: FFT Analysis (High Frequency components)

## Normal Gear

### Vibration Signals



### Current Signals

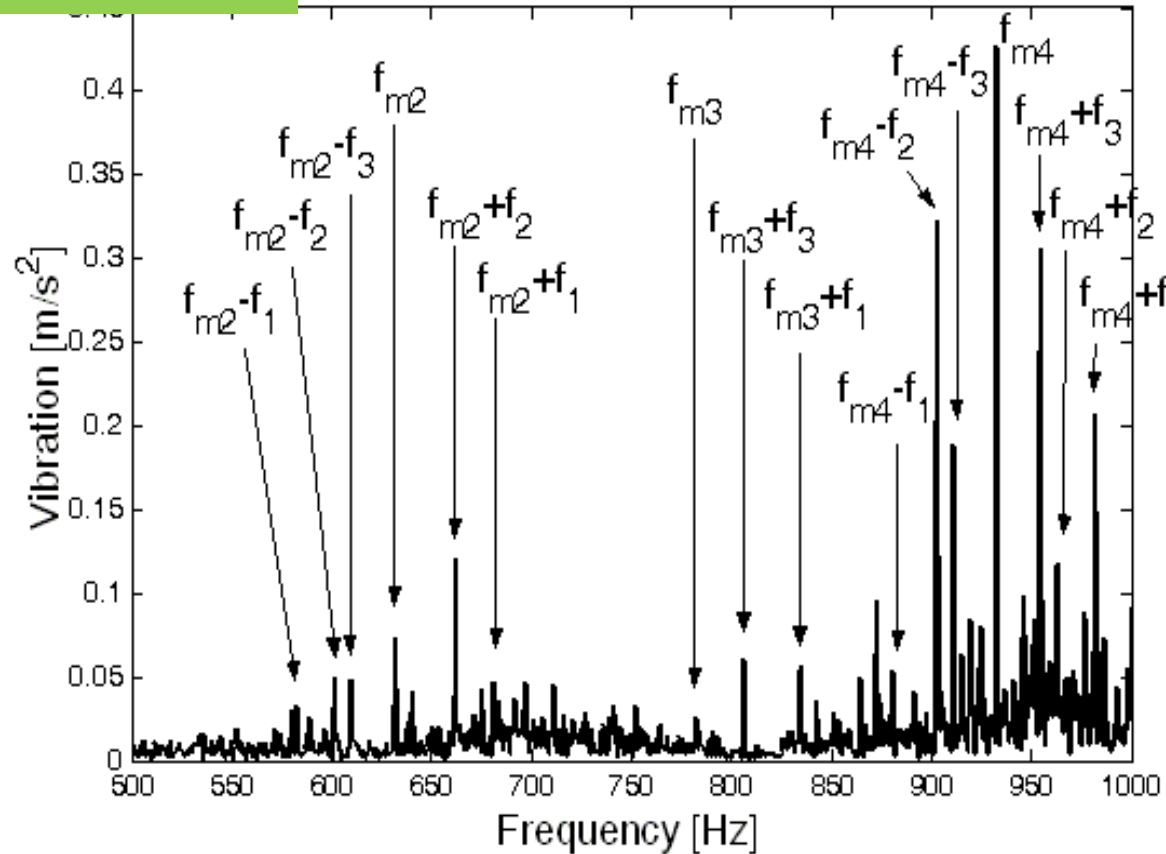


- The GMFs and its sidebands of shaft frequencies are traced in vibration and noise signals;
- GMF are not traced in the current signal due to noise and dominant line frequency



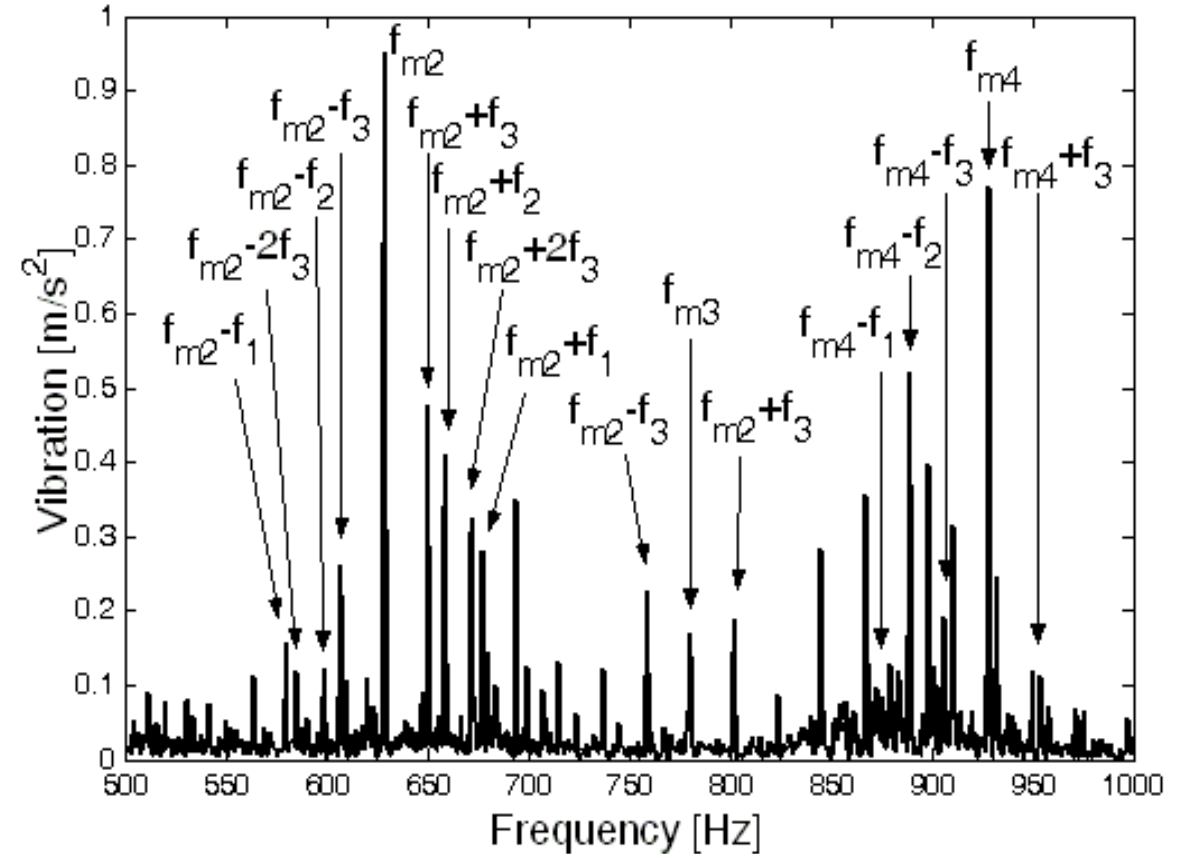
# Results: FFT Analysis (High Frequency components)

Normal Gear



Vibration Signals

Defective Gear



- For vibration spectra of healthy gears, 4<sup>th</sup> GMF is predominant; whereas with defects in 2<sup>nd</sup> gear, 2<sup>nd</sup> GMF gains energy
- GMF are not traced in the current signal



# Summary: FFT Analysis

- **In vibration signals**
  - **2<sup>nd</sup> GMF and its sidebands of output shaft frequency can detect defects**
- **In current signals**
  - **Each low frequency component appears as sidebands across line frequency**
  - **Tracking supply line frequency can detect defects**
  - **GMF could not be detected in current signals due to**
    - **Poor signal to noise ratio**
    - **Dominant line frequency and its harmonics**
- **Therefore, another technique 'Demodulation' is applied to the current signal**

# Amplitude Demodulation

- **Advantages**

- Eliminate any dominant carrier frequency ( $f_{\text{Carrier}}$ )
- Highlights the defect related modulating frequencies ( $f_{\text{Modulating}}$ )
- Assumes that  $f_c > f_m$
- The carrier frequency for Motor Current Signals is Line frequency
- Improves signal to noise ratio.
- Helps to differentiate between steady and fluctuating load conditions.

- **Methods:**

- **Square Law Demodulator [Pillay and Zu ]:**

1. Square the signal

$$\begin{aligned} x^2(t) &= (A + m)^2 \cos^2 \omega_m t \\ &= (A + m)^2 \left( \frac{1 - \cos 2 \omega_m t}{2} \right) \end{aligned}$$

2. Apply a low pass filter to remove second harmonic of carrier frequency.

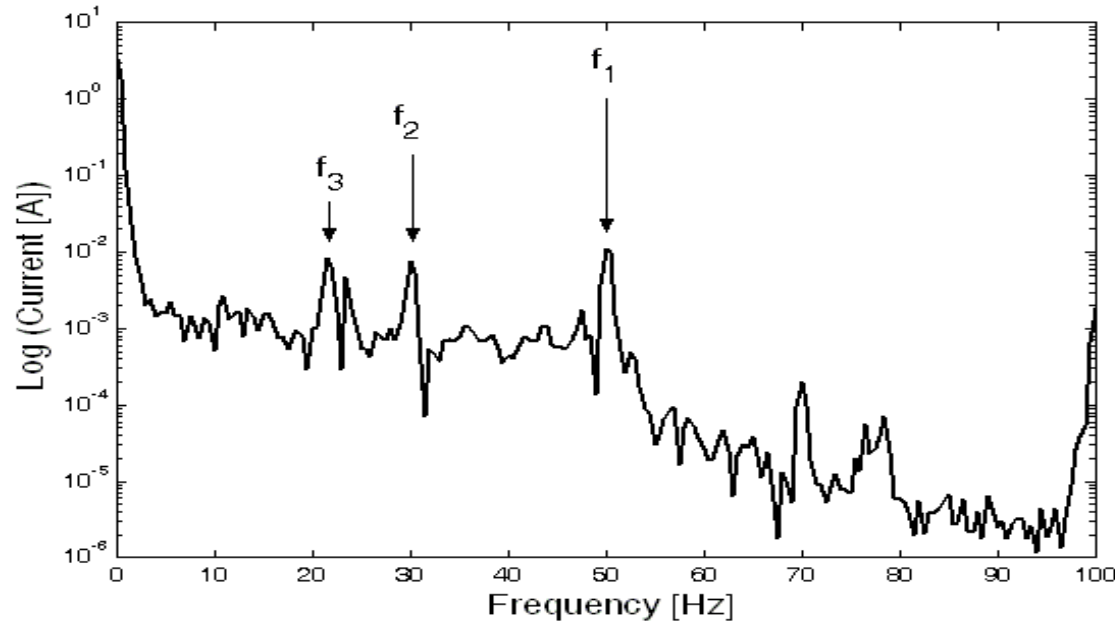
3. Square root operator will produce the modulating signal

- As applied by C.Kar in IEEE TIE Vol 53

1. Multiply the current signal by cosine of the carrier signal

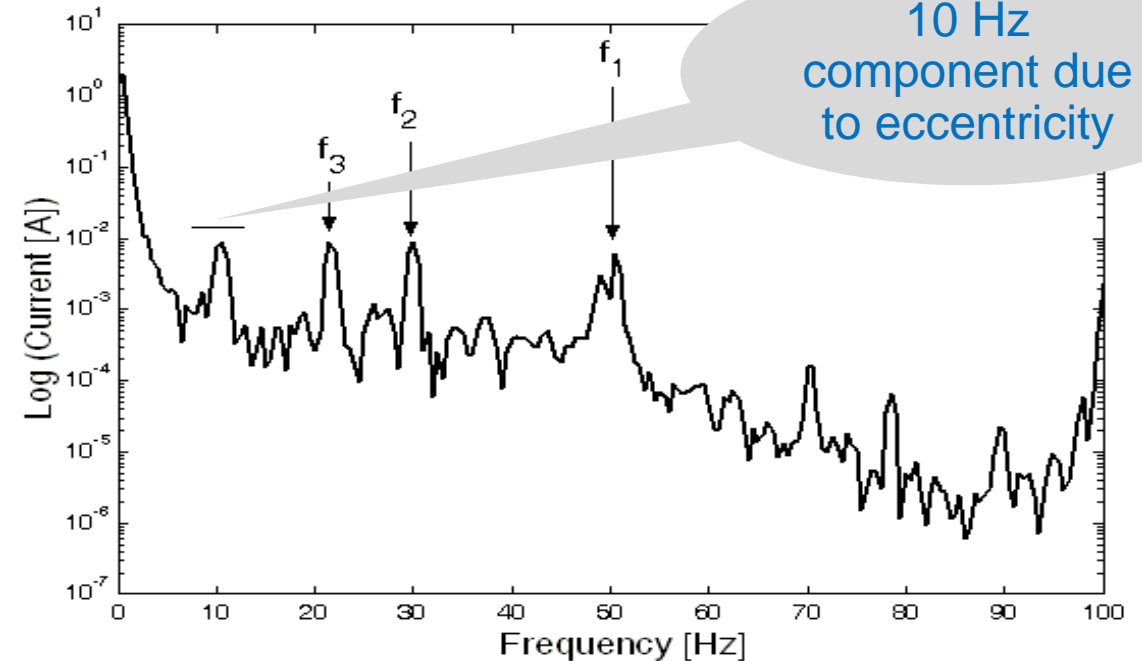
# Results: Amplitude Demodulation of Current Signals

Normal Gear



Current Signals

Defective Gear



- In amplitude demodulated current signals: All rotating shafts can be detected directly;
- Amplitude demodulated current signal is unable to detect high frequency GMF due to low carrier frequency
- Therefore, Frequency demodulation of current signals are investigated



# Frequency Demodulation

Assume a signal to be frequency modulated signal

Apply Hilbert Transform to separate the real and imaginary parts

Calculate Time-varying phase signal

Derivation of the phase signal will produce frequency demodulated signal

$$y(t) = A \cos \left( 2\pi \int_0^t f(\tau) d\tau \right)$$

$$x_a(t) = x(t) + \frac{j}{\pi} \int \frac{x(\tau)}{t - \tau} d\tau$$

$$\varphi(t) = \tan^{-1} \left( \frac{\frac{1}{\pi} \int \frac{x(\tau)}{t - \tau} d\tau}{x(t)} \right)$$

$$f(t) = \frac{d\varphi(t)}{dt}$$

- **Current Signals:**

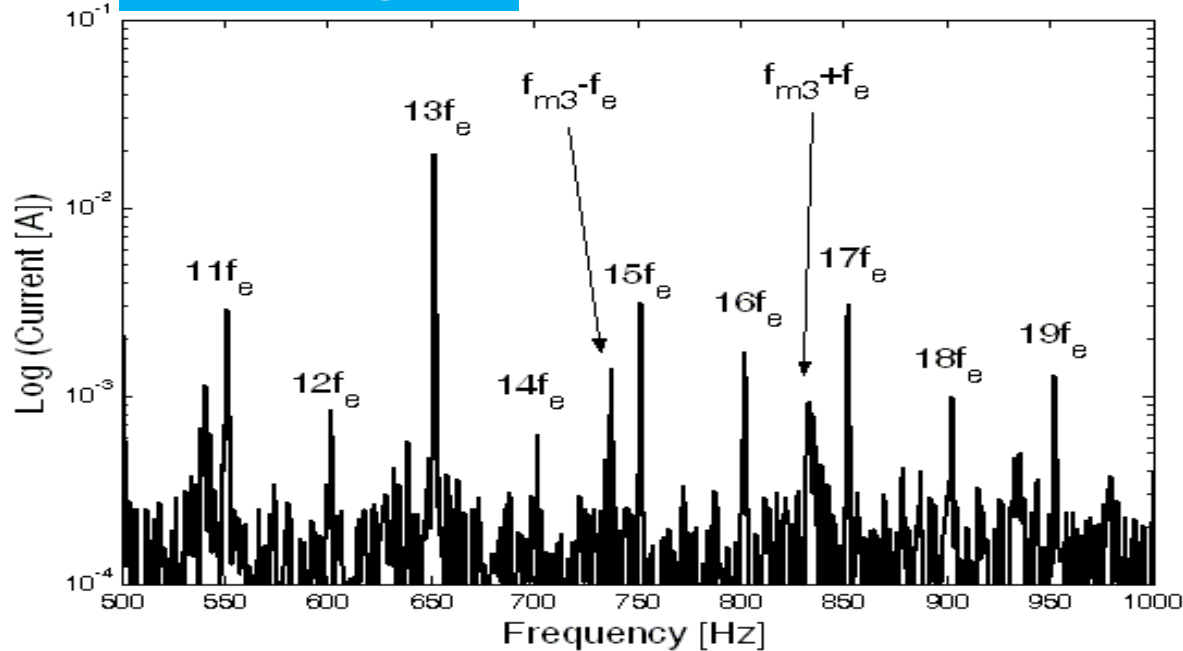
- Carrier frequency is the line frequency (50Hz)
- Any frequency higher than 50 Hz will appear in frequency demodulated signal

Ref.: Frequency demodulation through Teager Energy, W-V distribution, Hilbert Transform, WT etc [ Patent 5,594,175]

# Results: Demodulation of Current Signatures

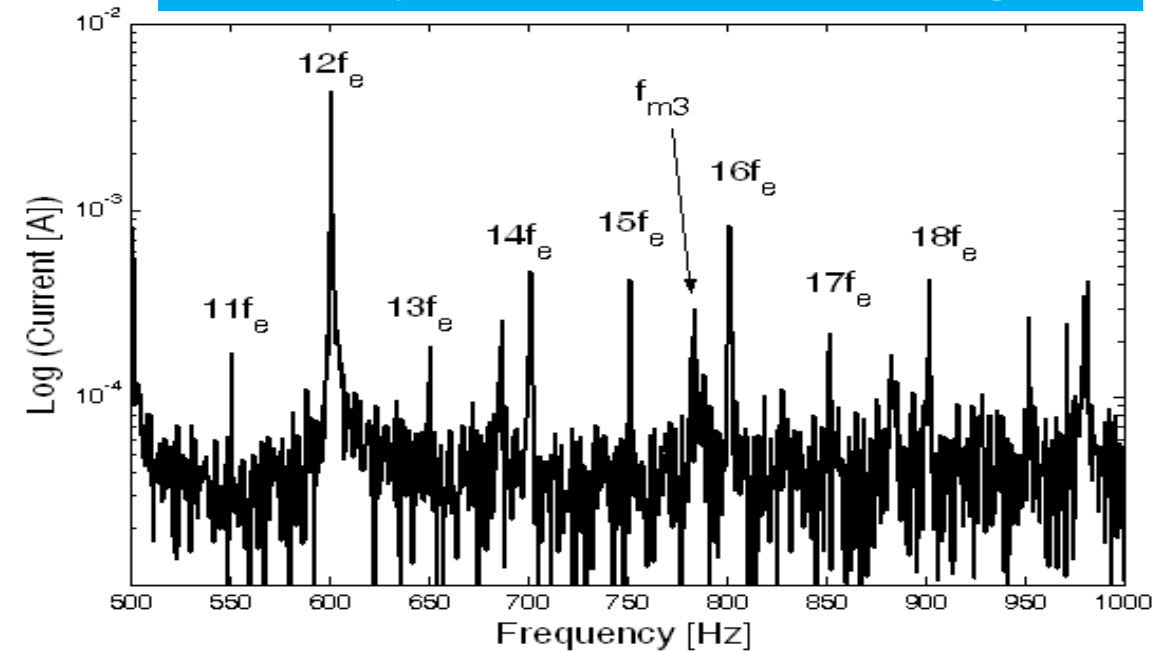
## Normal Gear

### Current Signal



- The current signal had dominant odd harmonics

### Frequency Demodulated Current Signals



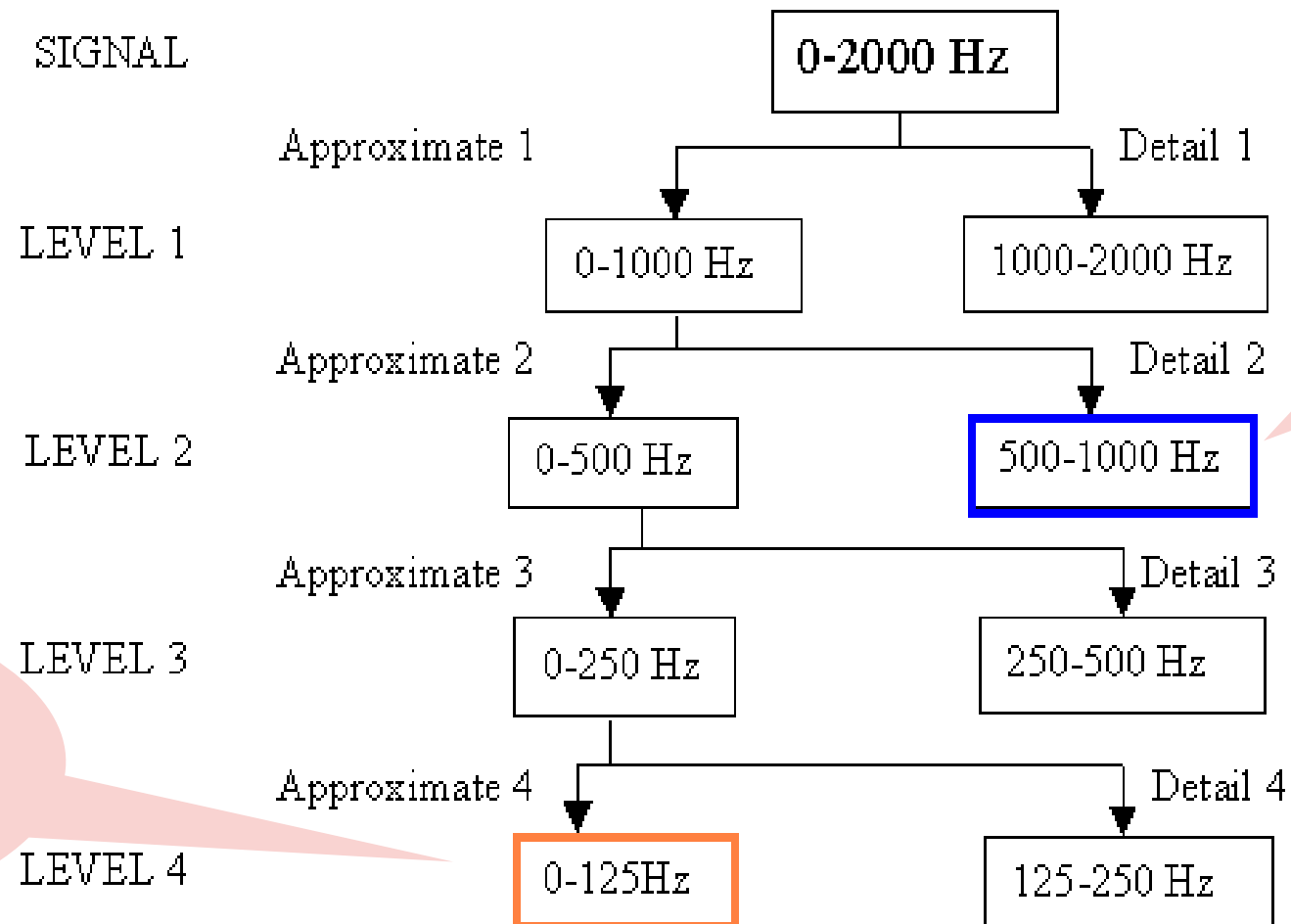
- The frequency demodulated current signal had dominant even harmonics
- The frequency demodulated current signal couldn't detect GMF

# Summary: Amplitude and Frequency Demodulation

- In amplitude demodulated current signals:
  - All rotating shafts can be detected directly;
  - 10 Hz component can be observed for cases of tooth missing which can be attributed to **eccentricity**;
- Frequency demodulation of current signals is unable to detect GMF due to
  - High frequency noise
  - Dominant even harmonics of line frequency
- Therefore, another technique 'Wavelet Transform' is applied to the current signal

# Discrete Wavelet Transform: Decomposition

- Maximum Frequency content of the signals: 2 KHz;
- Db8 wavelet has been considered in the analysis



Details 2 level  
contains gear  
mesh  
frequencies;

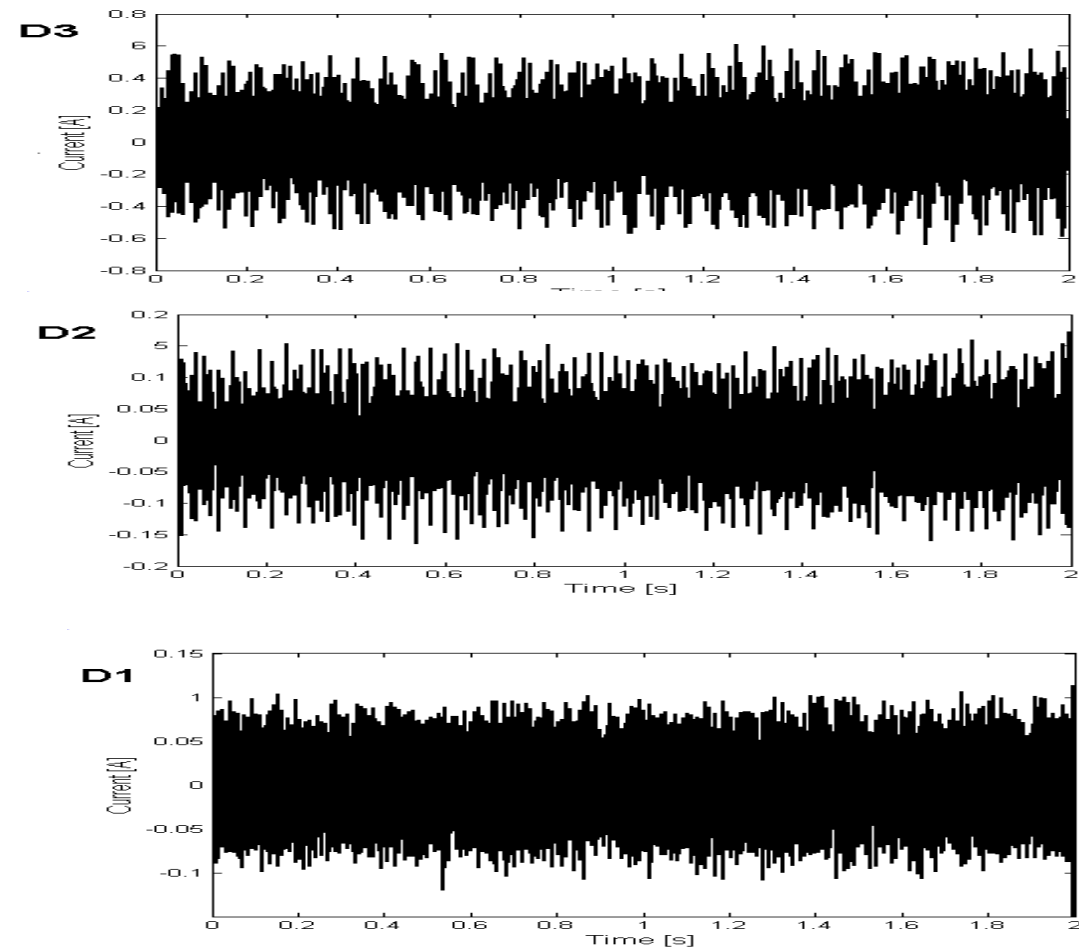
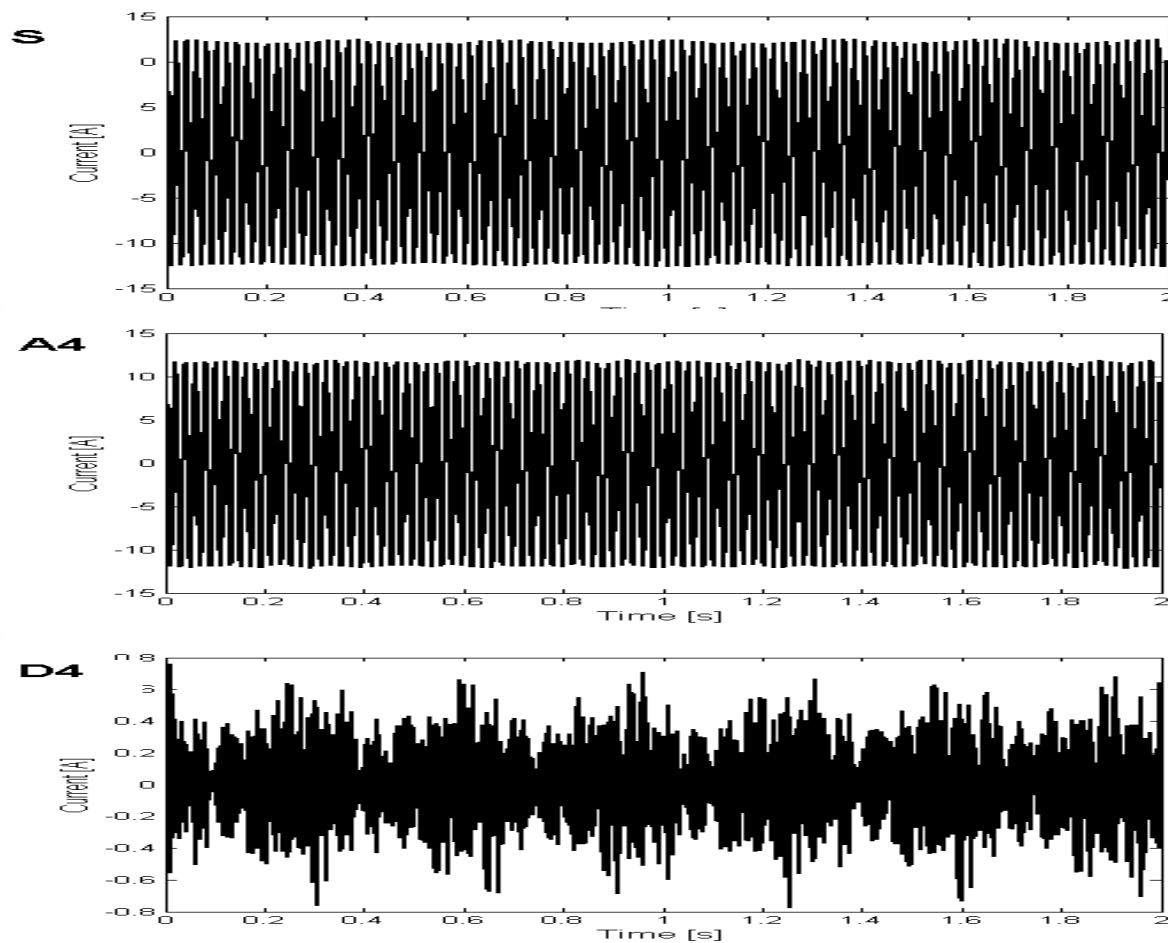
Approximate 4 level  
contains the supply  
line frequency in  
the current signals.



# Results : Discrete Wavelet Transform of Current Signals

Defective Gear

Current Signal



**An improvement of Wavelet Transform called Multiresolution Fourier Transform is applied to the current signal to detect the frequencies**

**Honeywell**

# Multiresolution Fourier Transform

- **Literature Review: Image and Audio Application [Callway]**
- **MFT is a combination of Wavelet Transform and Short-Time Fourier Transform**

$$\hat{x}(t, f, a) = \int_{-\infty}^{\infty} w_a(t - \tau) x(\tau) e^{-i2\pi f\tau} d\tau$$

- **Scaled window**

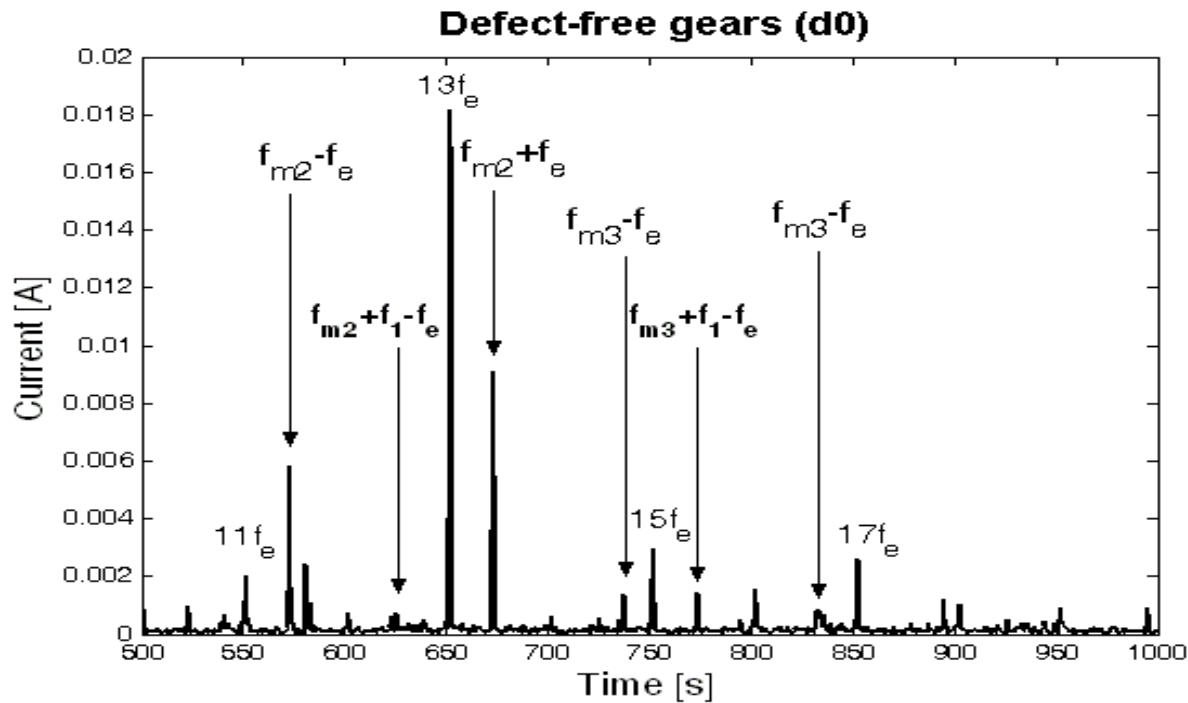
$$w_a(t) = \sqrt{a} w(ta)$$

- **If a single scaled window is used for whole record time, it is equivalent to FFT analysis at that scale.**
- **If a scaled window is moved throughout the record time, it is equivalent to STFT analysis at that scale.**
- **Corrected MFT (A novel Technique)**
  - **Scaling of the signal through DWT using 'Daubechies 8' wavelet**
  - **Applying the Hanning window in the scaled signal ('Details 2')**

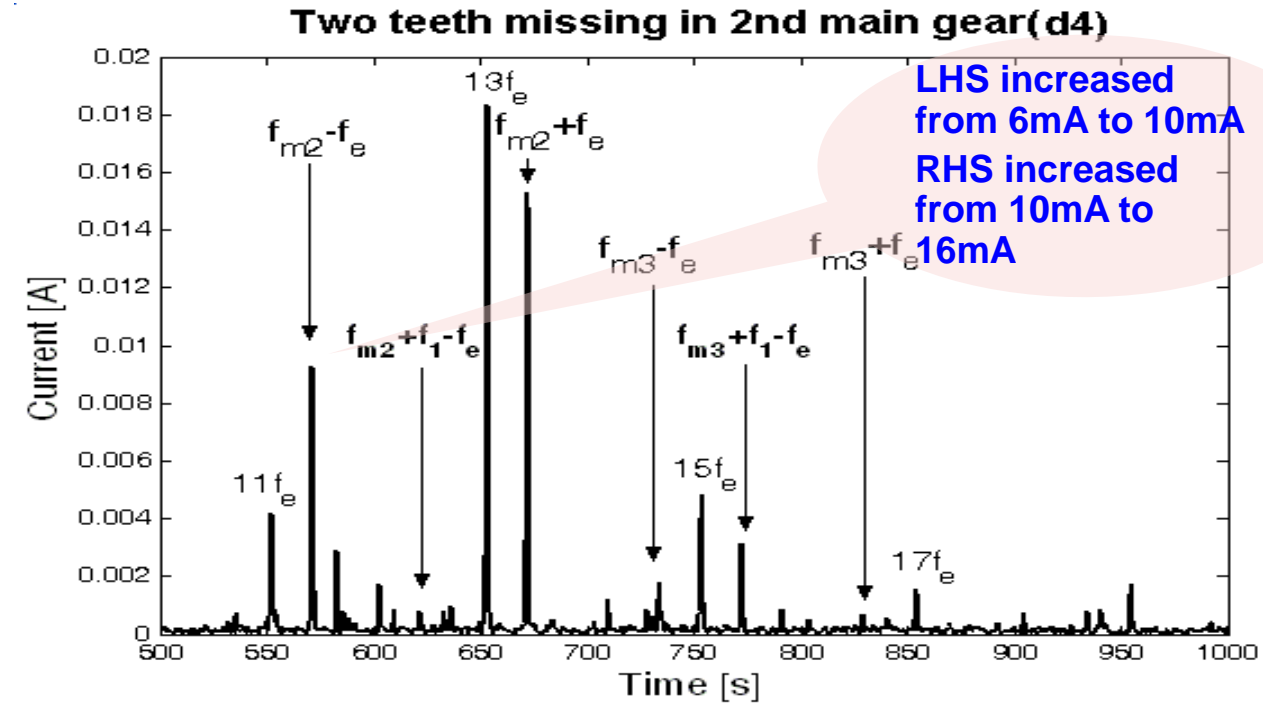
# Results : MFT (constant window) of current signals

Current Signal

Normal Gear



Defective Gear

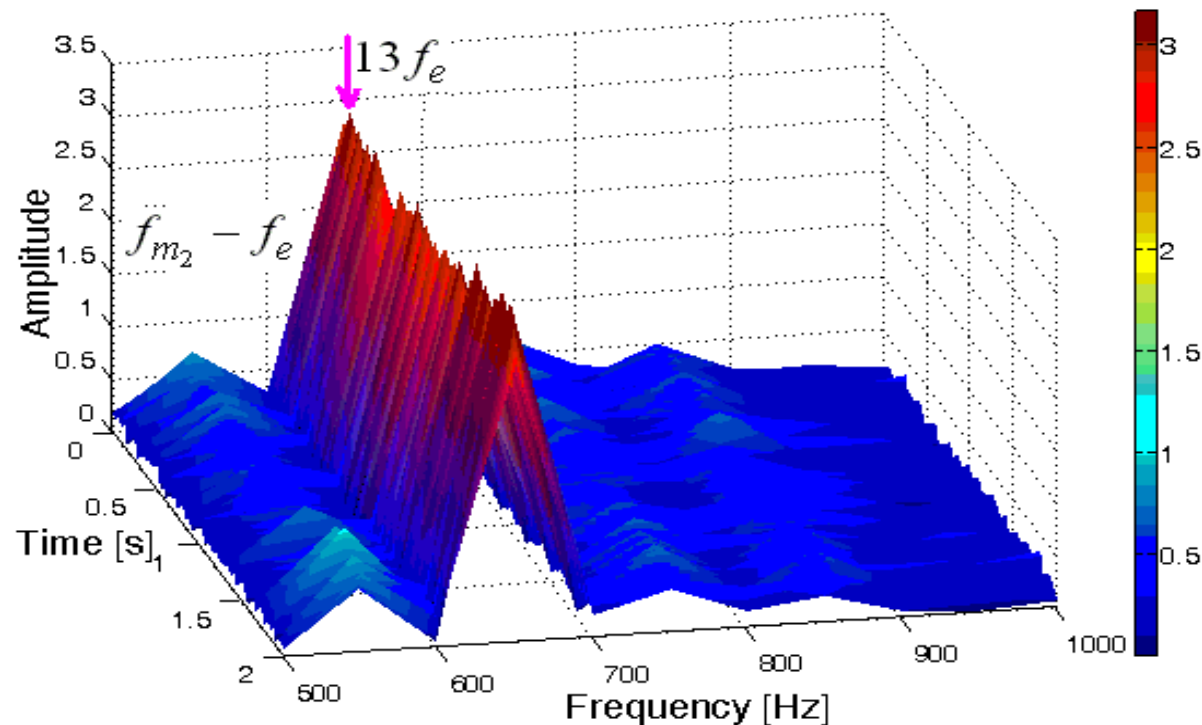


- Gear Mesh Frequencies could be detected in current signals
- Sidebands across GMF could also be detected
- This technique can trace even 1 mA of current
- By monitoring the amplitude, failure can be detected

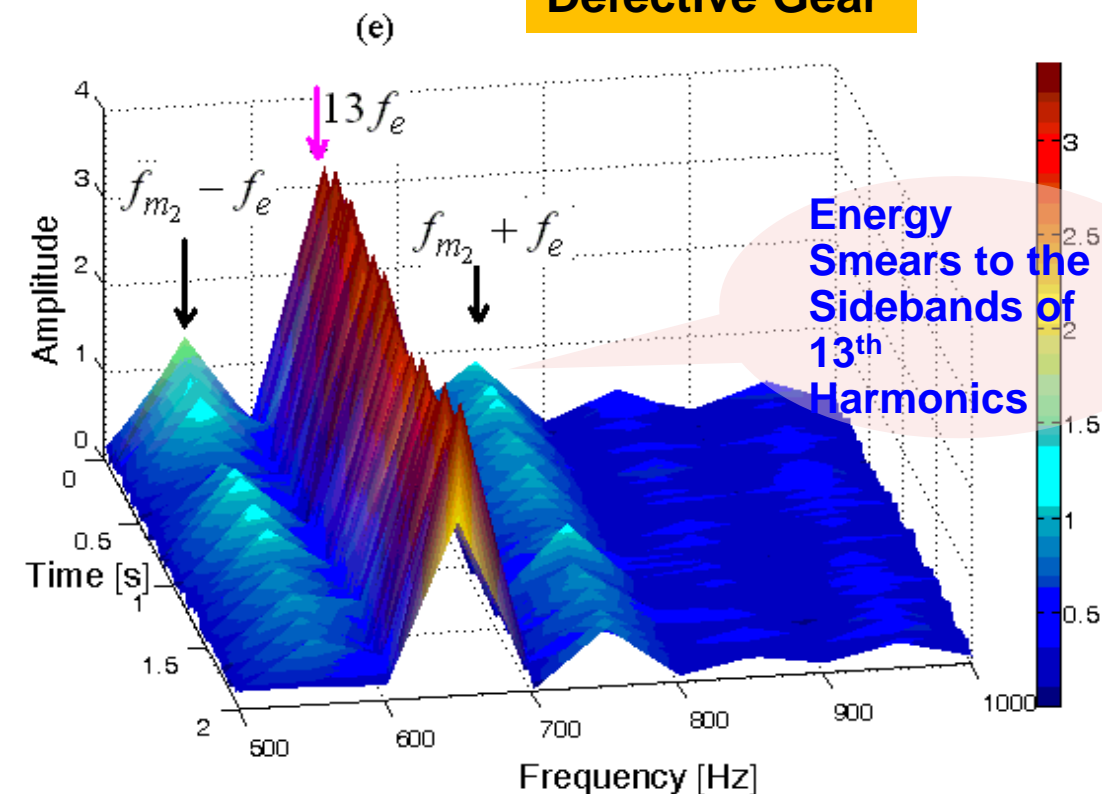
# Results : MFT (moving window) of Current Signal

Current Signal

Normal Gear



Defective Gear



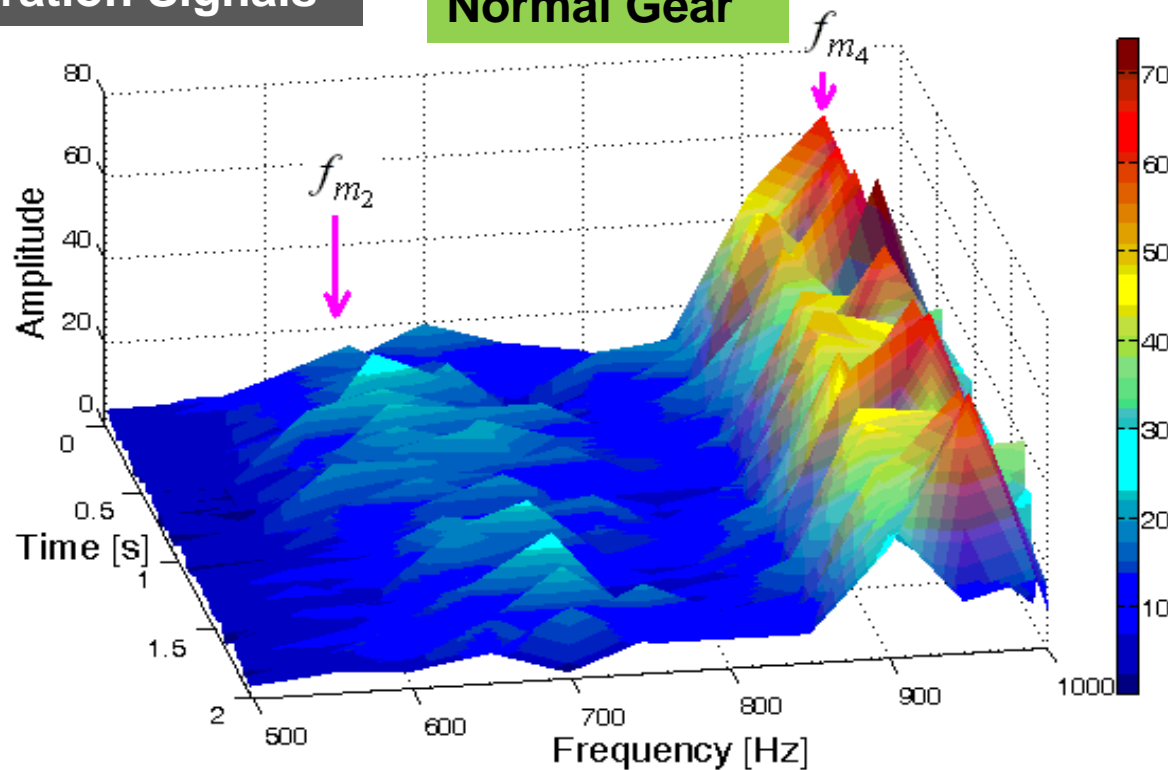
- Defect-free case: 13<sup>th</sup> harmonics of line frequency is predominant
- Energy increase in the sidebands can be monitored to detect failures



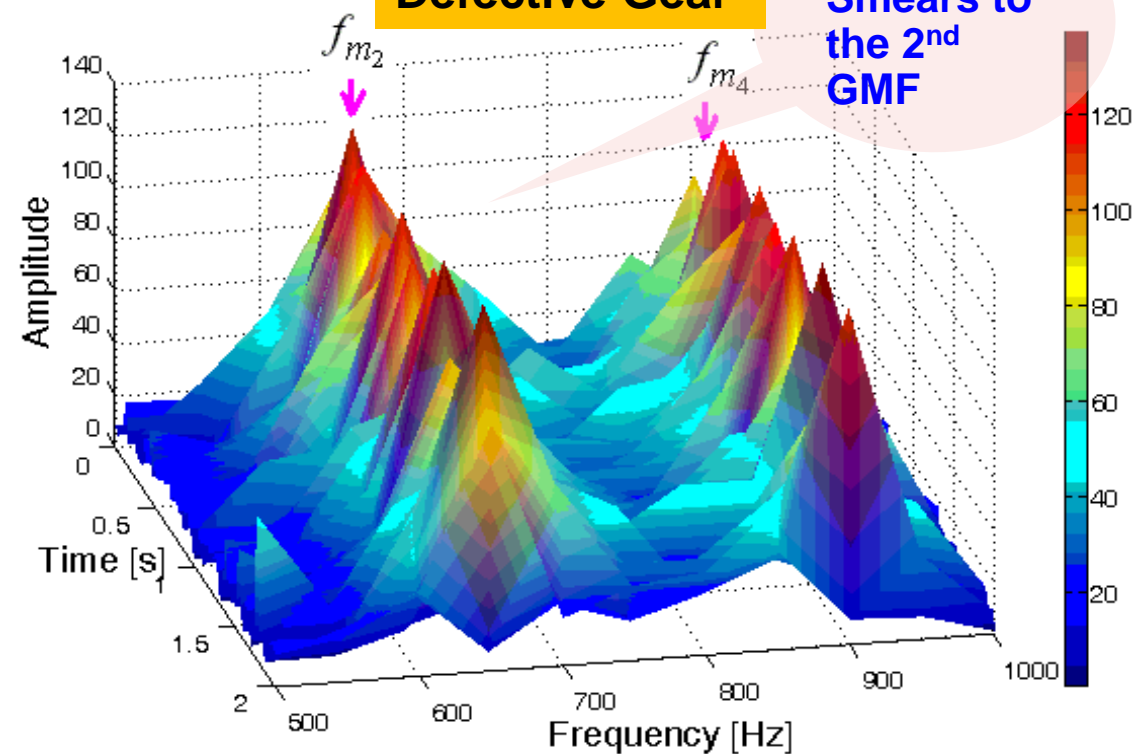
# Comparison of the MFT of vibration and current signals

## Vibration Signals

### Normal Gear



### Defective Gear

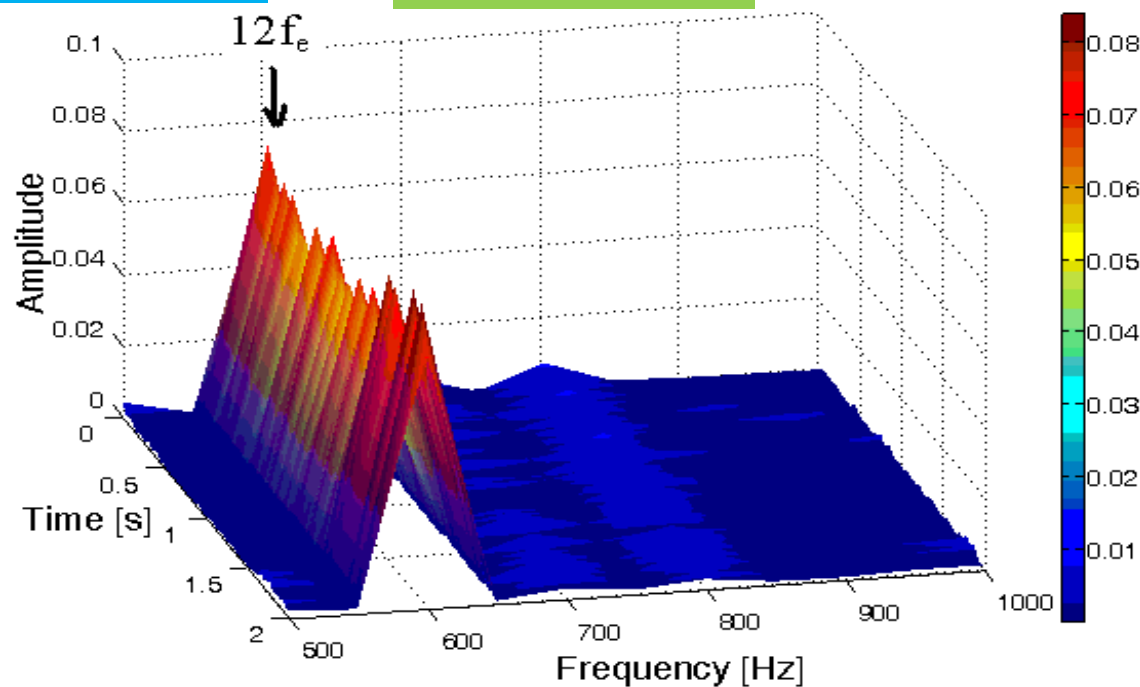


- Defect-free case: 4th GMF is predominant
- With increase in defects, 2nd GMF gains energy
- The same phenomenon has been observed in simple FFT analysis

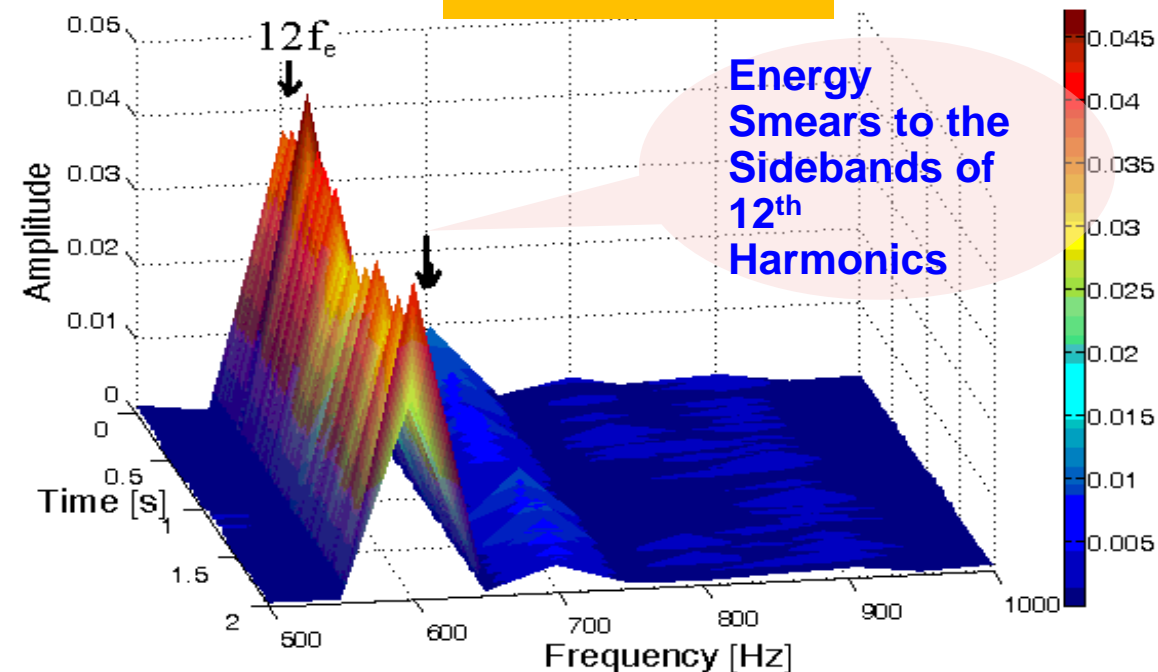
# Results : MFT (moving window) of Frequency demodulated current signals

Current Signal

Normal Gear



Defective Gear



- Defect-free case: 12<sup>th</sup> harmonics of line frequency is predominant
- With defects, energy smears to the shaft frequency sidebands of GMF

# Conclusions

- Various Analysis techniques have been discussed so as to detect mechanical failures
- Corrected MFT is proficient in analyzing the signal.
- Even a high frequencies of Gear Mesh Frequencies could be detected using Motor Current Signature Analysis
- The developed monitoring scheme can be extended or modified to analyze any type of gearbox
- The following additional cases have been examined and same results are found **(But out of scope of this presentation due to time constraints)**
  - Noise signal and speed signals
  - At various loads
  - With various transients

# References

- C. Kar & A.R. Mohanty, "Vibration and current transients monitoring for gearbox fault detection using Multiresolution Fourier Transform" *Journal of Sound and Vibration*, **311(1-2) (2008)109-132**. (Ranked 1<sup>st</sup> HOTTEST ARTICLE during Jan-Mar, 2008). Impact Factor: 2.3.
- C. Kar & A.R. Mohanty, "Gearbox Health Monitoring through Multiresolution Fourier Transform of Vibration and Current Signals" *Structural Health Monitoring-An International Journal*, **5(2), 2006, 195-200**. (Ranked 9-36 during 2007 as the MOST READ ARTICLE). Impact Factor: 3.193.
- C. Kar & A.R. Mohanty, "Monitoring Gear Vibrations through Motor Current Signature Analysis and Wavelet Transform", *Mechanical Systems and Signal Processing*, **20(1) (2005), 158-187**. (Ranked 10<sup>th</sup> HOTTEST ARTICLE during Oct-Dec, 2005). Impact Factor: 3.418.
- C. Kar & A.R. Mohanty, "An algorithm for determination of time-varying frictional force and torque in a helical gear system", *Mechanism and Machine Theory* **42(4), (2007), 482-596** (Ranked 15<sup>th</sup> HOTTEST ARTICLE during Jan-Mar, 2007). Impact Factor: 1.971.
- A.R. Mohanty & C. Kar, "Fault detection in a multi-stage gearbox by demodulation of motor current waveform", *IEEE Transactions on Industrial Electronics*, **53(4), (2006)**. Impact Factor: 6.383.
- C. Kar & A.R. Mohanty, "Multi-stage Gearbox Condition Monitoring using Motor Current Signature Analysis and Kolmogorov-Smirnov Test". *Journal of Sound and Vibration* **290 (1-2), (2006), 337-368**. Impact Factor: 2.3.
- C. Kar & A. R. Mohanty, "A motor current signal demodulation approach for fault detection of multi-stage gearbox" *Advances in Vibration Engineering*, **Vol. 5 (3-5), (2006)**. Impact Factor: 0.12.
- C. Kar & A. R. Mohanty, "Determination of time-varying contact length, friction force, torque, and forces at the bearings in a helical gear system", *Journal of Sound and Vibration*, **309(1-2) (2007), 307-319**. Impact Factor: 2.3.
- A.R. Mohanty & C. Kar, "Ripple current and voltage analysis for fault detection of a multi-stage gearbox ", Proceedings of IEEE Conference ICIT-2006, (2006), Mumbai, India, 1-7.
- A.R. Mohanty & C. Kar, "Gearbox Health Monitoring through Three-phase Motor Current Signature Analysis", Proceedings of 4th International Workshop on Structural Health Monitoring (2003), Stanford University, USA, 1366-1373.
- C. Kar & A. R. Mohanty, A motor current signal demodulation approach for fault identification in a multi-stage gearbox, Proceedings of International Conference on 3rd VETOMAC and 4th ACSIM, 2004, New Delhi, India, 469-475.
- C. Kar & A. R. Mohanty, Motor current signature analysis: A new non-intrusive condition monitoring technique, Proceedings of Industrial Problems on Machines and Mechanisms-2005, IIT, Kharagpur, India, 411-418.
- V. K. Rai, C. Kar, and A.R. Mohanty. Fault detection and condition monitoring in a rolling element bearing using Hilbert transform. Proceedings of Industrial Problems on Machines and Mechanisms-2005, IIT, Kharagpur, India, 427-434.



# Thank You

## Contact

Dr. Chinmaya Kar  
[Chinmaya.Kar@Honeywell.com](mailto:Chinmaya.Kar@Honeywell.com)